



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Shota KITAMURA et al.

Serial No: 09/392,865

Filed: September 9, 1999

For: NON-VOLATILE SEMICONDUCTOR MEMORY  
DEVICE AND ITS MANUFACTURING METHOD

Art Unit: 2811

Examiner: Thien F. Tran

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:  
 Mai Stop Non-Fee Amendment  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450, on  
 May 28, 2003.

 Date of Deposit  
 Anthony J. Orler, Reg. No. 41,232

 Name  
 Signature  
 Date May 28, 2003

 Mail Stop Non-Fee Amendment  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an amendment in the above-identified application with Replacement Sheet - Figures 10(a) and 10(b).

- ☒ Petition for Extension of Time (3-month)  
☐ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1) CLAIMS REMAINING AFTER AMENDMENT		(Col. 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Col. 3) PRESENT EXTRA*	LG/SM \$ ENTITY FEE	ADD'L FEE DUE
TOTAL CLAIMS FEE	2	-	20	0	LG=\$18 SM=\$9	\$ 0
INDEPENDENT CLAIMS FEE	1	-	3	0	LG=\$84 SM=\$42	\$ 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS					LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140	\$
TOTAL						\$ 0

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box on Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ A check in the amount of \$ 0 to cover the additional claims fee is enclosed. A copy of this sheet is enclosed.
- ☐ A check in the amount of \$ 0 to cover the extension fee is enclosed. A copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any deficiencies of fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17

 Respectfully submitted,  
 HOGAN & HARTSON LLP.

By:

 Anthony J. Orler  
 Registration No. 41,232  
 Attorney for Applicant(s)

Date: May 28, 2003

 Biltmore Tower  
 500 South Grand Avenue, Suite 1900  
 Los Angeles, California  
 90071  
 Telephone: 213 337-6700  
 Facsimile: 213 337-6701

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